# IAP20 Rec'd PCT/PTO 17 FEB 2006 PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q93303

Hirokazu KUWABARA, et al.

Appln. No.: Based on PCT/JP04/012140

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: February 17, 2006

Examiner: Unknown

For:

PYRIDYLTETRAHYDROPYRIDINES AND PYRIDYLPIPERIDINES, AND METHOD

OF MANUFACTURING THEM

## **INFORMATION DISCLOSURE STATEMENT** UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

10/568577

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: Based on PCT/JP04/012140

Attorney Docket No.: Q93303

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents (International Search

Report for PCT/JP04/012140 dated December 7, 2004), together with an English-language

version (if not already included) of at least that portion of the Communication indicating the

degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

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Date: February 17, 2006

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MODIFIED PTO/SB/08 A & B (06-03)

Substitute for Form 1449 A & B/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known				
Application Number	Basedon, P. T. J. P. P. A. 194 2440			
Confirmation Number	Uhkhowh 2002//			
Filing Date	February 17, 2006			
First Named Inventor	Hirokazu KUWABARA			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	Q93303			

U.S. PATENT DOCUMENTS					
Examiner Initials*		Document Number			
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
	-	US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JР	2002-518386	Α	06-25-2002		
		JP	2002-518327	Α	06-25-2002		
		wo	01/62742	A1	08-30-2001	ASTRAZENECA AB	
		WO	03/099266	A2	12-04-2003	ABBOTT LABORATORIES	
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						100	

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		VINCENZO CARELLI, et al., "Alcuni derivari γ-piridin-e γ-chinolin-piperidinici", Annali di Chimica, 1959, pp. 709-719, Vol. 49			
		FLORIS P.J.T. RUTIES, et al., "Selective Azetidine and Tetrahydropyridine Formation via Pd-Catalyzed Cyclizations of Allene-Substituted Amines and Amino Acids", Organic Letters, 1999, pp. 717-720, Vol. 1, No. 5			
		International Search Report dated December 7, 2004			

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.